

DOCKET NO.: C1134.70003US00/RHW

## THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

DiSalvo, et al.

Serial No:

10/630,237

Confirmation. No.:

8278

Filed:

July 29, 2003

For:

INTERMETALLIC COMPOUNDS FOR USE AS CATALYSTS

AND CATALYTIC SYSTEMS

Examiner:

Not Yet Assigned

Art Unit:

1742

#### **CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the <u>1</u> day of January, 2004.

Signature

Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

- [X] Information Disclosure Statement
- [X] PTO Form 1449 with cited references
- [X] Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

A check is not enclosed. If a fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted, DiSalvo, et al., Applicant

By:

Robert H. Walat, Reg. No.: 46,324 Randy J. Pritzker, Reg. No.: 35,986

WOLF, GREENFIELD & SACKS, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2211

Telephone: (617)720-3500

Date: January 7, 2004

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

#### PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

#### PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

753849.1

## PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted, DiSalvo, et al., Applicant

By:

Robert H. Walat, Reg. No. 46,324 Randy J. Pritzker, Reg No. 35,986 WOLF, GREENFIELD & SACKS, P.C. 600 Atlantic Avenue

Boston, Massachusetts 02210-2211

Telephone: (617) 720-3500

Docket No. C1134.70003US00

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**XNDDX** 

# FORM PTO-1449/A and B dodified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICATION NO.: 10/630,237 ATTY. DOCKET NO.: C1134.70003US00

FILING DATE: July 29, 2003 CONFIRMATION NO.: 8278

APPLICANT: DiSalvo, et al.

Sheet 1 of 2

GROUP ART UNIT: 1742 EXAMINER: Not Yet Assigned

#### U.S. PATENT DOCUMENTS

Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited	Date of Publication or of issue	
		Number	Kind Code	Document	of Cited Document MM-DD-YYYY	
	A1	4,112,199		Dunlop, et al.	09-05-1978	
	A2	4,192,907		Jalan, et al.	03-11-1980	
	A3	4,518,457		Gray	05-21-1985	
	A4	4,522,894		Hwang, et al.	06-11-1985	
	A5	4,677,092		Luczak, et al.	06-30-1987	
	A6	5,096,866		Itoh, et al.	03-17-1992	
	A7	5,110,541		Yamamasu, et al.	05-05-1992	
	A8	5,238,755		van Beijnen, et al.	08-24-1993	
	A9	5,489,563		Brand, et al.	02-06-1996	
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A13 5,888,665			Bugga, et al.	03-30-1999		
	A14	5,922,487		Watanabe, et al.	07-13-1999	
	A15	6,232,264		Lukehart, et al.	05-15-2001	
	A16	6,239,065		Schulz, et al.	05-29-2001	

#### FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document		nent	Name of Patentee or Applicant of Cited	Date of Publication of	Translation
		Office/ Country	Number	Kind Code	(not nonoggomi)	Cited Document MM-DD-YYYY	(-/-//

EXAMINER	DATE CONSIDERED
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#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]

<sup>\*</sup>a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_, filed \_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

JAN 1 2 2004

FORM PTO-1449/A and B (Modified PRADE)

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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**CONFIRMATION NO.: 8278** 

APPLICANT:

DiSalvo, et al.

Sheet 2 of 2 GROUP ART UNIT: 1742

EXAMINER: Not Yet Assigned

#### OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No	( and the state of	
	C1	DISALVO, FRANCIS J., Challenges and opportunities in solid-state chemistry, Pure Appl. Chem., Vol. 72, No. 10, pp. 1799-1807, 2000.	0
	C2	SMITH, SEAN P.E., ET AL., Structural Effects on the Oxidation of HCOOH by Bismuth-Modified Pt(111) Electrodes with (100) Monatomic Steps, American Chemical Society, Vol. 15, pp. 7325-7332, 1999.	
	C3	SMITH, SEAN P.E. AND HECTOR D. ABRUNA, Effects of the Electrolyte Identity and the Presence of Anions on the Redox Behavior of Irreversibly Adsorbed Bismuth on PT(111), J. Phys. Chem. Vol. 102, pp. 3506-3511, 1998.	
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	C5	R.B. VAN DOVER, L.F. SCHNEEMEYER & R.M. FLEMING, Discovery of a useful thin-film dielectric using a composition spread approach, Nature, Vol. 392, pp. 162-164, 1998.	
	C6	WANG, K., On the Reaction Pathway for Methanol and Carbon Monoxide Electrooxidation On Pt-Sn Alloy Versus Pt-Ru Alloys Surfaces, Electrochimica Acta., Vol. 41, No. 16, pp. 2587-2593, 1996	
	C7	REDDINGTON, E., ET AL., Combinational Electrochemistry: A Highly Parallel, Optical Screening Method for Discovery of Better Electrocatalysts, Science, Vol. 280, pp. 1735-1737, 1998.	
	C8	RABE, K.M., ET AL., Global multinary structural chemistry of stable quasicrystals, high-T <sub>c</sub> ferroelectrics, and high T- <sub>c</sub> superconductors, Physical Review, Vol. 45, No. 14, pp. 7650-7676, 1992.	
	C9	GURAU, B., ET AL., Structural and Electrochemical Characterization of Binary, Ternary, and Quarternary Platinum Alloy Catalysts for Methanol Electro-oxidation, J. Phys. Chem., Vol. 102, pp. 9997-10003, 1998.	
·	C10	VILLARS, P., A Three-Dimensional Structural Stability Diagram for 998 Binary AB Intermetallic Compounds, Journal of the Less-Common Metals, Vol. 92, pp. 215-238, 1983.	
	<u></u>		L

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